

# Using BLAST for Genomic Sequence Annotation

**Jeremy Buhler**

Adapted by Wilson Leung

Last Update: 08/16/2023

1

## Overview

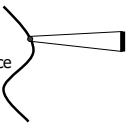
- What is comparative annotation?
- How to measure similarity between biosequences
- How to decide whether two sequences are "similar enough"

2

## Comparative Annotation

- Identify functional elements in DNA sequence
- Uses comparison to databases of sequences with known function

New  
DNA  
sequence



Probably *CFTR*

3

## Why Does It Work?

- Functional sequences are under negative selection → fewer mutations
- More conservation → greater similarity
- **BLAST software recognizes similarity.**

4

## Caveats w/Similarity Evidence

- Similarity without conservation
  - random chance
- Conservation without selective pressure
  - slow mutation
  - recent divergence
- Similar selective pressures, but seqs have two distinct functions

5

## Overview

- What is comparative annotation?
- How to measure similarity between biosequences
- How to decide whether two sequences are "similar enough"

6

## What is **Similarity**?

- How to measure similarity of two DNA seqs?
- Mutations happen...
- Measure should reflect desired evolutionary inference

7

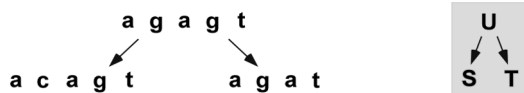
## Mutational Model

- Sequences change by series of events of (only) three types:
  - Substitution** of one base      $ACG \Rightarrow ATG$
  - Insertion** of one base          $ACG \Rightarrow ACAG$
  - Deletion** of one base          $ACG \Rightarrow AG$

8

## Sequence History (1/2)

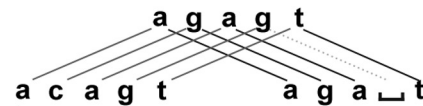
- Suppose seqs S, T diverged from a common ancestral sequence U...



9

## Sequence History (2/2)

- Draw lines between bases of S and T that come from same base of U.

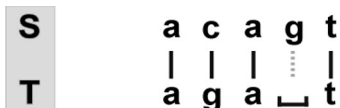


- This is a "tree alignment" of S, T, U.

10

## Sequence Alignment

- Now elide the ancestor...

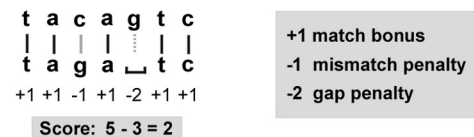


- Result is correspondence between bases of S, T – a sequence alignment

11

## Similarity Score of Alignment

- Fewer mutations  $\rightarrow$  more conservation



- Give bonus for matches, penalties for substitutions and gaps

12

## One Small Problem...

- Do you own a time machine?
- If not, how do you know
  - ancestral sequence U?
  - history of mutation?
- Hence, how to get correct alignment?



13

## What We Do In Practice

- Guess an alignment that minimizes # of hypothesized mutations

```

a a g c c - - - - S
- - - - - a a t c c T
  
```

```

a a g c c S
| | | | |
a a t c c T
  
```

- (more precisely, maximizes score)

14

## Overview

- What is comparative annotation?
- How to measure similarity between biosequences
- How to decide whether two sequences are "similar enough"

15

## Deciding What to Report

- Any two sequences can be aligned with **some** score.
- Higher scores are better...
- When is score high enough to be evidence of conservation?

16

## Idea: Test a Null Hypothesis

- Suppose two DNA seqs S, T are **completely unrelated**.
- What is probability that best alignment between S, T has score at least  $\Theta$ ?
- If  $\text{score}(S, T)$  is unlikely to occur by chance, then report (S, T)

17

## Null Model Assumptions

- Bases of seqs S, T generated independently at random

random process S 

random process T 

18

## Scoring Systems

	C	S	T	A	G	P	D	E	Q	N	H	R	K	M	I	L	V	W	Y	F
C																				
S	-1	4																		
T	-1	5																		
A	0	1	0	4																
G	-3	0	-2	0	6															
P	-3	-1	-1	-2	7															
D	-3	0	-1	-2	-1	6														
E	-4	0	-1	-1	-2	-1	2	5												
Q	-3	0	-1	-1	-2	-1	0	2	5											
N	-3	1	0	-2	0	-2	1	0	0	6										
H	-3	-1	-2	-2	-2	-1	0	0	1	8										
R	-3	-1	-1	-1	-2	-2	0	0	1	0	5									
K	-3	0	-1	-1	-2	-1	1	1	0	-1	2	5								
M	-1	-1	-1	-1	-3	-2	-3	-2	0	-2	-1	-1	5							
I	-1	-2	-1	-1	-4	-3	-3	-3	-3	-3	-3	-3	1	4						
L	-1	-2	-1	-1	-4	-3	-4	-3	-2	-3	-2	-2	2	2	4					
V	-1	-2	0	0	-3	-2	-3	-2	-2	-3	-3	-2	1	3	1	4				
W	-2	-3	-2	-3	-2	-4	-3	-2	-4	-2	-3	-3	-1	-3	-2	-3	11			
Y	-2	-2	-2	-3	-3	-3	-2	-1	-2	2	-2	-2	-1	-1	-1	-1	2	7		
F	-2	-2	-2	-3	-4	-3	-3	-3	-3	-1	-3	-3	0	0	0	-1	1	3	6	

**BLOSUM62 Matrix**

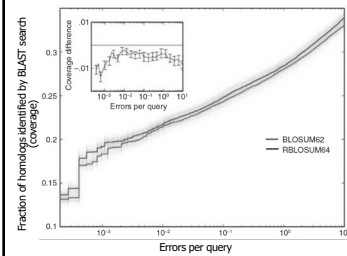
Amino acids grouped by the Dayhoff classification scheme

"BLOSUM". Wikipedia. Ppgardne.

- Eddy SR. Where did the BLOSUM62 alignment score come from? Nat Biotechnol. 2004 Aug;22(8):1035-6.

19

## Errors in the BLOSUM Matrices



- Benchmark: detect distant homologs in the ASTRAL database

- The "correct" matrix (**RBLOSUM64**) performs worse than the **BLOSUM62** matrix

- Subsequent "fix" of the BLOSUM matrices:

- Hess M, et al. Addressing inaccuracies in BLOSUM computation improves homology search performance. BMC Bioinformatics. 2016 Apr 27;17:189.

Styczynski MP, et al. BLOSUM62 miscalculations improve search performance. Nat Biotechnol. 2008 Mar;26(3):274-5.

20

## P-values

- Given random seqs  $S'$ ,  $T'$  with same base distributions as  $S$ ,  $T$
- Karlin-Altschul theory tells us probability that  $S'$ ,  $T'$  align with score at least  $\Theta$
- If  $p(\Theta)$  is small, report alignment of  $S, T$

21

## E-values

- For computational reasons, BLAST reports not  $p(\Theta)$  but rather  $E(\Theta)$
- $E(\Theta)$  = expected # times alignment with score at least  $\Theta$  happens by chance in current search
- If  $E(\Theta) < 1$ , then score  $\Theta$  is interesting

22

## Caveats about E-values

- Model from which E-values are computed is too simple for real bioseqs
- Large margin of safety is wise
- Be very skeptical of "matches" with  $E > 10^{-5}$

23

## Explanation for E-value = 0.0

- E-value is less than **1.0e-180**

```

NCBI Home
IEB Home
C++ Toolkit docs
C Toolkit source browser
C Toolkit source browser (2)

NCBI C++ Toolkit Cross Reference
c++/src/algo/blast/api/blast_seqalign.cpp

0056 BEGIN_NCBI_SCOPE
0057 USING_SCOPE(objects);
0058 BEGIN_SCOPE(blast)
0059
0060 #ifndef SMALLEST_EVALUE
0061 /// Threshold below which e-values are saved as 0
0062 #define SMALLEST_EVALUE 1.0e-180

```

24

## Summary

- Comparative annotation with BLAST uses similarity as evidence for conserved function.
- Similarity score based on hypothesized evolutionary relations among sequences.
- E-values indicate whether scores are high enough to be real biological conservation.

25

## Additional Resources

- Introduction to Dynamic Programming
  - Overview of the algorithms for calculating global, semiglobal, and local alignments
- From Smith-Waterman to BLAST
  - Discuss the heuristics used by BLAST to reduce the search space and quickly report high-scoring local alignments

26